



Reuss Private

Client information in accordance with the Financial Services Act (FinSA)

Based on the legal requirements of Art. 8 et seq. of the Financial Services Act (FinSA), the following provides an overview of Reuss Private AG and its services.

1. Information about the company

Reuss Private AG (founded in 2000)
Wiesenstrasse 8
8008 Zürich
Switzerland
Phone: + 41 44 512 45 00
Fax: + 41 44 512 45 99
E-Mail: info@reussprivate.com
Website: www.reussprivate.com

2. Supervisory authority and audit firm

Reuss Private AG has been licensed as a securities firm since 2013 according to the Financial Institutions Act (FinIA) and is therefore subject to prudential supervision by the Swiss Financial Market Supervisory Authority FINMA. As part of this supervision, the Financial Institution is audited and reviewed annually by the audit firm Mazars AG for both regulatory and statutory purposes. The address of FINMA and Mazars AG can be found below.

Eidgenössische Finanzmarktaufsicht FINMA
Laupenstrasse 27
3003 Bern
Switzerland
Phone: +41 31 327 91 00
E-Mail: info@finma.ch
Website: www.finma.ch

Mazars AG
Herostrasse 12
8048 Zürich
Switzerland
Phone: +41 44 384 84 44
E-Mail: office.zurich@mazars.ch
Website: www.mazars.ch



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3. Ombudsman Office

Reuss Private AG is affiliated with the independent ombudsman's office Schweizer Bankenombudsman, which is recognized by the Federal Department of Finance. Whenever possible, disputes concerning legal claims between clients and the Financial Institution shall be settled by the ombudsman's office within the framework of a mediation procedure. The address of Schweizer Bankenombudsman can be found below.

Schweizer Bankenombudsman
Bahnhofplatz 9
8021 Zürich
Switzerland
Phone: +41 43 266 14 14
Website: <https://bankingombudsman.ch/en/>

4. Information about the financial services offered

Reuss Private AG provides asset management services and investment advisory services to its clients. Furthermore, Reuss Private AG provides asset management services in relation to portfolio management and fixed income. Reuss Private AG guarantees neither a return nor a success in the context of the investment activity. The investment activity can therefore lead to capital gains but also to capital losses. Reuss Private AG has all the necessary licenses to provide the above services.

5. Client segmentation

Financial service providers are required to assign their clients to a legally defined client segment. The Financial Services Act provides for the segments "private clients", "professional clients" and "institutional clients". A client classification is defined for each client as part of the cooperation with the Financial Institution. At Reuss Private AG, all clients are generally classified in the "private clients" segment. This means that they benefit from the most comprehensive investor protection, which has an impact, particularly on the duties to provide information and the duties of conduct in connection with the examination of suitability and appropriateness when acquiring financial instruments. Subject to complying with certain conditions, the customer can change the customer classification by so-called opting-in or opting-out.

6. Information on risks and costs

General risks in trading with financial instruments

The investment advisory and asset management services involve financial risks. Reuss Private AG hands out the brochure "Risks in Trading with Financial Instruments" to all clients before they conclude a contract. This brochure can also be found at www.swissbanking.org. Clients of the Financial Institution may contact their Relationship Manager at any time if they have any further questions.



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Risks in the context of the service offered

For a description of the various risks that may arise from the investment strategy for the client's assets, please refer to the relevant investment advisory and asset management agreements.

Cost information

A fee is charged for the services provided, which is normally calculated on the assets under management and/or on a performance-related basis. For more detailed information, please refer to the relevant investment advisory and asset management agreements.

7. Information about ties to third parties

In connection with the performance of the financial services offered by Reuss Private AG, no economic ties exist with third parties, and no payments from third parties are accepted.

8. Information about the considered market offer

Reuss Private AG's own collective investments schemes are used for the efficient implementation of the investment strategies in the asset management mandates or recommended within the scope of investment advice. In the case of investment segments that are not covered by Reuss Private AG's own collective investment schemes, Reuss Private AG seeks to make the best possible choice for the client when selecting financial instruments.